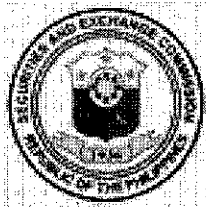




110062016001765



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
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Company Information

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Company Name FIRST ABACUS FINANCIAL HOLDINGS CORP.  
Industry Classification  
Company Type Stock Corporation

Document Information

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REPUBLIC OF THE PHILIPPINES )  
PASIG CITY ) S.S.

### CERTIFICATION

**ANNA FRANCESCA C. RESPICIO**, of legal age, Filipino, with office address at 2704 East Tower, Philippine Stock Exchange Centre, Exchange Road, Ortigas Center, Pasig City, being the duly elected and qualified Assistant Corporate Secretary of **FIRST ABACUS FINANCIAL HOLDINGS CORPORATION** (the "Corporation"), a corporation organized and existing under the laws of the Philippines, under oath, does hereby certify that the electronic file of the Corporation's 2016 General Information Sheet (GIS) contained in the attached CD is in the same form and format as that of the physical copies of the GIS submitted to the Securities and Exchange Commission.


**IN WITNESS WHEREOF**, this Certificate has been signed this 6<sup>th</sup> day of October 2016 at Pasig City, Metro Manila.



**ANNA FRANCESCA C. RESPICIO**  
Assistant Corporate Secretary

SUBSCRIBED AND SWORN to before me this 6<sup>th</sup> day of October 2016 at Pasig City, affiant exhibiting to me her Community Tax Certificate No. 15181391 issued on 7 January 2016 at Manila and TIN 419-191-112 as her competent evidence of identity.

Doc. No. 313 ;  
Page No. 64 ;  
Book No. 1 ;  
Series of 2016.



**ISAIAH G. SAN MIGUEL**  
Notary Public for Pasig City, San Juan & Pateros  
Appointment No. 105 (2016-2017)  
Commission Expires on December 31, 2017  
2704 East Tower, Phil. Stock Exchange Centre  
Exchange Road, Ortigas Center, Pasig City  
PTR No. 2445447 / 01.11.16 / Mandaluyong  
IBP No. LRN-013775 / 04.22.15 / PPLM  
Roll of Attorneys No. 64234

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2016  
STOCK CORPORATION

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> FIRST ABACUS FINANCIAL HOLDINGS CORPORATION		<b>DATE REGISTERED:</b> 15-Feb-94
<b>BUSINESS/TRADE NAME:</b> -		<b>FISCAL YEAR END:</b> DECEMBER 31
<b>SEC REGISTRATION NUMBER:</b> ASO94-001420		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 043-003-507-219
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> every first Thursday of September		<b>WEBSITE/URL ADDRESS:</b> <a href="http://www.firstabacusfinancial.com.ph">http://www.firstabacusfinancial.com.ph</a>
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 8-Sep-16		<b>E-MAIL ADDRESS:</b> -
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> Unit2904-A East Tower, PSE Centre, Exchange Road, Ortigas Center, Pasig City, Metro Manila		<b>FAX NUMBER:</b> 634-0435/2105
<b>COMPLETE BUSINESS ADDRESS:</b> 2904-A East Tower, PSE Centre, Exchange Road, Ortigas Center, Pasig City, Metro Manila		<b>TELEPHONE NUMBER(S):</b> (632) 634-5104; 625-7986/ 625-7987
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> Punongbayan & Araullo/Romualdo Murcia		<b>SEC ACCREDITATION NUMBER (if applicable):</b> 0002-F/0008-A
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> INVESTMENT AND REAL PROPERTY DEVELOPMENT	<b>INDUSTRY CLASSIFICATION:</b>	<b>GEOGRAPHICAL CODE:</b>

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ABACUS CAPITAL & INVESTMENT CORPORATION	ASO95-000138	Unit E-2902D PSE Centre, Exchange Road Ortigas Center, Pasig City, MM
ABACUS SECURITIES CORPORATION	ASO9119962	Unit E-2904A PSE Centre, Exchange Road Ortigas Center, Pasig City, MM
VISTA HOLDINGS CORPORATION	ASO93-4833	Unit E-2904A PSE Centre, Exchange Road Ortigas Center, Pasig City, MM

NOTE: USE ADDITIONAL SHEET IF NECESSARY

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: **FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**

Yes  No

Please check the appropriate box:

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input checked="" type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p> <p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p> <p><b>8.</b> <input type="checkbox"/> None of the above</p> <p style="text-align: center;">Describe nature of business:</p> <p style="text-align: center;">Investment and Real Property Development</p>

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its**

Yes  No

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME: FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

### CAPITAL STRUCTURE

#### AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
N/A	COMMON	1,193,200,000	1.00	1,193,200,000.00
<b>TOTAL</b>		<b>1,193,200,000</b>	<b>TOTAL P</b>	<b>1,193,200,000.00</b>

#### SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	108	COMMON	1,136,501,000	967,154,000	1.00	1,136,501,000.00	95.25
<b>TOTAL</b>			<b>1,136,501,000</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>1,136,501,000.00</b>	<b>95.25</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	2	COMMON	56,699,000		1.00	56,699,000.00	4.75
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>56,699,000</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>100.00</b>
<b>TOTAL SUBSCRIBED</b>						<b>P</b>	<b>1,193,200,000.00</b>

#### PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	108	COMMON	1,136,501,000	1.00	1,136,501,000.00	95.25
<b>TOTAL</b>			<b>1,136,501,000</b>	<b>TOTAL P</b>	<b>1,136,501,000.00</b>	<b>95.25</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	2	COMMON	56,699,000	1.00	56,699,000.00	4.75
<b>TOTAL</b>			<b>56,699,000</b>	<b>TOTAL P</b>	<b>56,699,000.00</b>	<b>4.75</b>
<b>TOTAL PAID-UP</b>					<b>P</b>	<b>1,193,200,000.00</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME: FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

### DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
1. PAULINO S. SOO 23 Vicente Rufino St., Corinthian Gardens Quezon City, M.M.	Y	C	M	Y	Chairman/CEO	C/C; A/M; N/C	107-047-406 Filipino
2. JACK T. HUANG 1-40 First Street, Paradise Village Banilad Cebu City	N	M	M	Y	President	A/M; N/M	143-320-568 Filipino
3. VICENTE CO CHIEN, JR. NO. 14 Hernandez St., San Lorenzo Village Makati City	N	M	M	Y	Treasurer	C/M; A/M	111-853-589 Filipino
4. A. BAYANI K. TAN No. 57 Athena Loop, Paladium Subdivision Mandaluyong City	N	M	M	Y	Corporate Secretary	-	102-054-041 Filipino
5. ANNA FRANCESCA C. RESPICIO No. 4 Don Renato Street, Don Enrique Heights, Quezon City	N	N		N	Assistant Corporate Secretary	-	419-191-112 Filipino
6. MELANIO C. DELA CRUZ No. 58 T. Bugallon Ext. Concepcion I Marikina City	N	N	M	N	Compliance Officer	-	182-285-400 Filipino
7. JIMMY CHUA ALABANZA 3/F JAKA 6780 Building, Ayala Avenue, Makati City	N	I	M	Y		A/C	163-751-612 Filipino
8. MARIE THERESE G. SANTOS No. 17 East Capitol Drive, Bo. Capitolyo Pasig City	N	I	F	Y		N/M; C/M	123-299-982 Filipino

**INSTRUCTIONS:**

- FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
- FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
- FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
- FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
- FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
- FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: FIRST ABACUS FINANCIAL HOLDINGS CORPORATION

TOTAL NUMBER OF STOCKHOLDERS: 110 NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 110

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: 5,5310,994,914.00

### STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNERSHIP		
1.  SEE ATTACHED ANNEX "A"						
	TOTAL					
2.						
	TOTAL					
3.						
	TOTAL					
4.						
	TOTAL					
5.						
	TOTAL					
6.						
	TOTAL					
7.						
	TOTAL					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>1,193,200,000.00</b>			
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>1,193,200,000.00</b>	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

## GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: FIRST ABACUS FINANCIAL HOLDINGS CORPORATION			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	N/A		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	N/A		
1.5 OTHERS	N/A		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	N/A	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
3. TREASURY SHARES	N/A	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR (531,448,890.00)			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: N/A			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N/A		
5.2 STOCK	N/A		
5.3 PROPERTY	N/A		
TOTAL	N/A		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N/A			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Permit to Offer Securities for Sale	N/A	N/A
DATE ISSUED:	4-Sep-95	N/A	N/A
DATE STARTED OPERATIONS:	4-Sep-95	N/A	N/A
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) 7,465,963.00 (for Directors and Executive Officers)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
	5	4	9

NOTE: USE ADDITIONAL SHEET IF NECESSARY



I, ANNA FRANCESCA C. RESPICIO, ASSISTANT CORPORATE SECRETARY OF THE ABOVEMENTIONED CORPORATION, DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF SEVEN (7) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.


I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE OCT 06, 2016 DAY OF OCTOBER 2016 IN PASIG CITY.

  
ANNA FRANCESCA C. RESPICIO  
(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN PASIG CITY, METRO MANILA, PHILIPPINE OCT 06, 2016 DAY OF OCTOBER 2016, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HER COMMUNITY TAX CERTIFICATE NO. 15181391 ISSUED ON 7 JANUARY 2016 AT MANILA AND HER TIN 419-191-112.

DOC. NO: 314 ;  
PAGE NO. 04 ;  
BOOK NO. 1 ;  
SERIES OF 2016 .

  
**ISAIAH G. SAN MIGUEL**  
Notary Public for Pasig City, San Juan & Pateros  
Appointment No. 105 (2016-2017)  
Commission Expires on December 31, 2017  
2704 East Tower, Phil. Stock Exchange Centre  
Exchange Road, Ortigas Center, Pasig City  
PTR No. 2445447 / 01.11.16 / Mandaluyong  
IBP No. LRN-013775 / 04.22.15 / PPLM  
Roll of Attorneys No. 64234

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# ANNEX "A"

**FIRST ABACUS FINANCIAL HOLDINGS, INC.**  
**LIST OF TOP 20 STOCKHOLDERS**  
**AS OF SEPTEMBER 30, 2016**

RANK	NAME	CTZN	TOTAL SHARES	PERCENTAGE (%)	TIN
1	PCD NOMINEE CORPORATION	FILIPINO	808,580,000	67.7657	004-774-849
2	SOO, PAULINO S.	FILIPINO	133,000,000	11.1465	107-047-406
3	ABACUS CAPITAL AND INVESTMENT CORP.	FILIPINO	59,644,000	4.9987	004-474-229
4	PCD NOMINEE CORPORATION	OTHERS	36,699,000	3.0757	004-774-849
5	ACIC FAO 20001	FILIPINO	32,361,000	2.7121	004-474-229
6	LIMTONG, EDGARDO	FILIPINO	28,527,000	2.3908	120-166-213
7	PHEE BON KANG	MALAYSIAN	20,000,000	1.6762	Passport # A6629318
8	VISTA HOLDINGS CORP.	FILIPINO	14,095,000	1.1813	002-919-183
9	PEDRO, CECILIO	FILIPINO	12,260,000	1.0275	100-758-295
10	QUALITY INVESTMENTS & SECURITIES CORPORATION	FILIPINO	10,850,000	0.9093	000-333-614
11	SOO, JIMMY S.	FILIPINO	10,010,000	0.8389	133-832-627
12	CO CHIEN, VICENTE, JR.	FILIPINO	6,130,000	0.5137	111-853-589
13	SOO, ELIZABETH K.	FILIPINO	6,000,000	0.5028	164-313-197
14	SOLAR SECURITIES, INC.	FILIPINO	4,000,000	0.3352	001-949-768
15	ABACUS CAPITAL & INVEST. CORP.	FILIPINO	2,547,000	0.2135	004-474-229
16	UY, LOUIS	FILIPINO	2,000,000	0.1676	108-470-660
17	GO, GEORGE Q. &/OR SHIRLEY D. GO	FILIPINO	1,443,000	0.1209	137-724-339
18	HUANG, JACK	FILIPINO	500,000	0.0419	143-320-568
19	QUALITY INVESTMENTS & SECS. CORP. (001117)	FILIPINO	500,000	0.0419	000-333-614
20	CO CHIEN, VICENTE T. JR.	FILIPINO	400,000	0.0335	111-853-589

\*\*\* End of Report \*\*\*