

# GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR **2024**

**STOCK CORPORATION**

## GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <b>FIRST ABACUS FINANCIAL HOLDINGS CORPORATION</b>		DATE REGISTERED: Feb 15, 1994
BUSINESS/TRADE NAME:		FISCAL YEAR END:
SEC REGISTRATION NUMBER: ASO94-001420	December 31	
DATE OF ANNUAL MEETING PER BY-LAWS: Every First Thursday of September	CORPORATE TAX IDENTIFICATION NUMBER (TIN) 043-003-507-219	
ACTUAL DATE OF ANNUAL MEETING: 26-Sep-24	WEBSITE/URL ADDRESS: <a href="http://www.firstabacusfinancial.com.ph">http://www.firstabacusfinancial.com.ph</a>	
COMPLETE PRINCIPAL OFFICE ADDRESS: Unit 2904-A East Tower PSE Centre, Exchange Road, Ortigas Center, Pasig City	E-MAIL ADDRESS:	
COMPLETE BUSINESS ADDRESS: Unit 2904-A East Tower PSE Centre, Exchange Road, Ortigas Center, Pasig City	FAX NUMBER: 8634-0435/2105	
Official E-mail Address milan.delacruz@abacus-sec.com	Alternate E-mail Address corporateservices@tvllaw.com	Office Mobile Number 09178912171
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Punongbayan & Araullo / Yusoph Maute		TELEPHONE NUMBER(S): 8634-5104;8625-7986 to 87
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:  HOLDINGS	SEC ACCREDITATION NUMBER (if applicable): 140306-SEC	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N.A.		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ABACUS CAPITAL & INVESTMENT CORPORATION	ASO95-000138	Unit E-2902D PSE Centre, Exchange Road, Ortigas Centre, Pasig City, MM
ABACUS SECURITIES CORPORATION	ASO9119962	Unit E-2904A PSE Centre, Exchange Road, Ortigas Centre, Pasig City, MM
VISTA HOLDINGS CORPORATION	ASO93-4833	Unit E-2904A PSE Centre, Exchange Road, Ortigas Centre, Pasig City, MM

NOTE: USE ADDITIONAL SHEET IF NECESSARY



# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**

☒ Yes ☐ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☒ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☒ None of the above

Describe nature of business: Holdings

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**

☒ Yes ☐ No



# GENERAL INFORMATION SHEET STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

## CAPITAL STRUCTURE

### AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (Php) (No. of shares X Par/Stated Value)
	Common	1,193,200,000	1.00	1,193,200,000.00
<b>TOTAL</b>		<b>1,193,200,000</b>	<b>TOTAL P</b>	<b>1,193,200,000.00</b>

### SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
	96	Common	1,143,469,999	822,687,999	1.00	1,143,469,999.00	95.83%
<b>TOTAL</b>			<b>1,143,469,999</b>			<b>1,143,469,999.00</b>	<b>95.83%</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
	2	Common	49,730,001	29,730,001	1.00	49,730,001.00	4.17%
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>			<b>49,730,001.00</b>	<b>4.17%</b>
<b>TOTAL SUBSCRIBED P</b>						<b>1,193,200,000.00</b>	<b>100.00%</b>

### PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
	96	Common	1,143,469,999	1.00	1,143,469,999.00	95.83%
<b>TOTAL</b>			<b>1,143,469,999</b>		<b>1,143,469,999.00</b>	<b>95.83%</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
	2	Common	49,730,001	1.00	49,730,001.00	4.17%
<b>TOTAL</b>			<b>49,730,001</b>		<b>49,730,001.00</b>	<b>4.17%</b>
<b>TOTAL PAID-UP P</b>					<b>1,193,200,000.00</b>	<b>100.00%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

**FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

### DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. PAULINO S. SOO		Y	C	M	Y	Chairman, President and Chief Executive Officer	E/C CG/M	
2. JIMMY S. SOO		N	M	M	Y	Treasurer	E/M	
3. MARIA CRISTINA B. ENCARNACION		N	M	F	Y	N.A.	E/M	
4. MARIE THERESE G. SANTOS		N	M	F	Y	N.A.	A/M	
5. ANNA FRANCESCA C. RESPICIO		N	M	F	Y	N.A.	N.A.	
6. ALDEN ALFONSO M. CASTAÑEDA 8		N	I	M	Y	N.A.	A/C CG/M	
7. MARIA RITA CECILIA P. ARENAS		N	I	F	Y	N.A.	CG/C A/M	
8. MARIEL ANGELI R. QUINES		N		F	N	Corporate Secretary	N.A.	
9. MELANIO C. DELA CRUZ		N		M	N	Compliance Officer	N.A.	
10. JESERAIRE NOC L. QUIAOT		N		M	N	Assistant Corporate Secretary	N.A.	
11.								
12.								
13.								
14.								
15.								

#### INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

**FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

TOTAL NUMBER OF STOCKHOLDERS:

98

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

98

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

₱7,017,092,859

### STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1.			-			
SEE ATTACHED ANNEX "A"						
	TOTAL	-	-			
2.			-			
	TOTAL	-	-			
3.			-			
	TOTAL	-	-			
4.			-			
	TOTAL	-	-			
5.			-			
	TOTAL	-	-			
6.			-			
	TOTAL	-	-			
7.			-			
	TOTAL	-	-			
8.			-			
	TOTAL	-	-			
9.			-			
	TOTAL	-	-			
10.			-			
	TOTAL	-	-			
TOTAL SHARES & AMOUNT SUBSCRIBED		-	1,193,200,000.00			
TOTAL AMOUNT OF PAID-UP CAPITAL					-	1,193,200,000.00

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.



# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

**FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

TOTAL NUMBER OF STOCKHOLDERS:

98

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

98

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

**₱7,017,092,859**

### STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1.			-			
SEE ATTACHED ANNEX "A"						
	<b>TOTAL</b>	-	-			
2.			-			
	<b>TOTAL</b>	-	-			
3.			-			
	<b>TOTAL</b>	-	-			
4.			-			
	<b>TOTAL</b>	-	-			
5.			-			
	<b>TOTAL</b>	-	-			
6.			-			
	<b>TOTAL</b>	-	-			
7.			-			
	<b>TOTAL</b>	-	-			
8.			-			
	<b>TOTAL</b>	-	-			
9.			-			
	<b>TOTAL</b>	-	-			
10.			-			
	<b>TOTAL</b>	-	-			
<b>TOTAL SHARES &amp; AMOUNT SUBSCRIBED</b>		-	<b>1,193,200,000.00</b>			
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					-	<b>1,193,200,000.00</b>

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.



## GENERAL INFORMATION SHEET

### STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

**FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

TOTAL NUMBER OF STOCKHOLDERS:

98

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

98

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

**P7,017,092,859**

### STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1.			-			
SEE ATTACHED ANNEX "A"						
	<b>TOTAL</b>	-	-			
2.			-			
	<b>TOTAL</b>	-	-			
3.			-			
	<b>TOTAL</b>	-	-			
4.			-			
	<b>TOTAL</b>	-	-			
5.			-			
	<b>TOTAL</b>	-	-			
6.			-			
	<b>TOTAL</b>	-	-			
7.			-			
	<b>TOTAL</b>	-	-			
8.			-			
	<b>TOTAL</b>	-	-			
9.			-			
	<b>TOTAL</b>	-	-			
10.			-			
	<b>TOTAL</b>	-	-			
<b>TOTAL SHARES &amp; AMOUNT SUBSCRIBED</b>		-	<b>1,193,200,000.00</b>			
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					-	<b>1,193,200,000.00</b>

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



# GENERAL INFORMATION SHEET STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

**FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS	N.A.	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N.A.	
1.3 LOANS/ CREDITS/ ADVANCES	N.A.	
1.4 GOVERNMENT TREASURY BILLS	N.A.	
1.5 OTHERS	N.A.	

2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
N.A.		

3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
N.A.		

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: (PhP 2,040,616,751.00)

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: N.A.

TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1 CASH		
5.2 STOCK		
5.3 PROPERTY		
TOTAL		

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N.A.

DATE	NO. OF SHARES	AMOUNT

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:

NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Permit to Offer Securities for Sale	N.A.	N.A.
DATE ISSUED:	25 March 1994	N.A.	N.A.
	04 September 1995		
	11 October 1996		
DATE STARTED OPERATIONS:	17 November 1994	N.A.	N.A.

TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
13,082,820.00	5	0	5

NOTE: USE ADDITIONAL SHEET IF NECESSARY



I, **MARIEL ANGELI R. QUINES**, Corporate Secretary of **FIRST ABACUS FINANCIAL HOLDINGS CORPORATION**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the Corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this 15th day of October 2024 in Pasig City.

  
**MARIEL ANGELI R. QUINES**

(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in Pasig City this 15th day of October 2024 by affiant who personally appeared before me and exhibited to me her Passport No. 15444444 issued at DFA Manila which expires on 0 15/10/2024 as her competent evidence of identity.

  
**JESERAIRE NOC L. QUISAOT**

Notary Public for Cities of Pasig and San Juan  
and in the Municipality of Pateros

Appointment No. 001 (2023-2024)

Commission Expires on December 31, 2024

2704 East Tower, Tektite Towers, Exchange Road

Ortigas Center, 1605 Pasig City

PTR No. 1504080/01.02.24/Pasig

IBP No. 301427/01.02.24/RSM

Roll of Attorneys No. 87050

Admitted to the Bar 05.02.23

Doc. No. 471 ;  
Page No. 96 ;  
Book No. VII ;  
Series of 2024 .

File No.: ABKT/ACR/MRQ/JNQ 292-200

## BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:	FIRST ABACUS FINANCIAL HOLDINGS CORPORATION
CORPORATE NAME:	AS94001420

### Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

### Category

### Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup>  Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Soo, Paulino S.*					11.1465%	D / I	I - Chairman and President of Abacus Securities Corporation**

\*Pursuant to SEC MC 15-2019 Section 6, 2nd. par.

\*\* Abacus Securities Corporation is under the PCD Nominee Corporation

**Note: This page is not for uploading on the SEC iView.**

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.



# ANNEX "A"

## FIRST ABACUS FINANCIAL HOLDINGS CORPORATION LIST OF TOP 20 STOCKHOLDERS AS OF 30 September 2024

	STOCKHOLDERS' NAME	ADDRESS	NATIONALITY	TYPE OF SHARES	NO. OF SHARES	AMOUNT	% OF OWNERSHIP	TIN
1	PCD Nominee Corporation			Common	852,418,000	Php 852,418,000.00	71.4397%	
2	Soo, Paulino S.			Common	133,000,000	Php 133,000,000.00	11.1465%	
3	Abacus Capital and Investment Corp.			Common	59,644,000	Php 59,644,000.00	4.9987%	
4	ACIC FAO 20001			Common	32,361,000	Php 32,361,000.00	2.7121%	
5	Limtong, Edgardo			Common	28,527,000	Php 28,527,000.00	2.3908%	
6	Phee Bon Kang			Common	20,000,000	Php 20,000,000.00	1.6762%	
7	Vista Holdings Corp.			Common	14,095,000	Php 14,095,000.00	1.1813%	
8	Pedro, Cecilio			Common	12,260,000	Php 12,260,000.00	1.0275%	
9	Quality Investments & Securities Corporation			Common	10,720,000	Php 10,720,000.00	0.8984%	
10	Soo, Jimmy S.			Common	10,010,000	Php 10,010,000.00	0.8389%	
11	Soo, Elizabeth K.			Common	6,000,000	Php 6,000,000.00	0.5028%	
12	Solar Securities, Inc.			Common	4,000,000	Php 4,000,000.00	0.3352%	
13	Abacus Capital & Investment Corp.			Common	2,547,000	Php 2,547,000.00	0.2135%	
14	Uy, Louis			Common	2,000,000	Php 2,000,000.00	0.1676%	
15	Go, George Q. &/or Shirley D. Go			Common	1,443,000	Php 1,443,000.00	0.1209%	
16	Huang, Jack			Common	500,000	Php 500,000.00	0.0419%	
17	Quality Investments & Secs. Corp. (001117)			Common	500,000	Php 500,000.00	0.0419%	
18	Lim, Francisco & or Dulce			Common	304,000	Php 304,000.00	0.0255%	
19	Ong, Henry			Common	231,000	Php 231,000.00	0.0194%	-
20	Po, Ronald S.			Common	200,000	Php 200,000.00	0.0168%	-
Subtotal					1,190,760,000	1,190,760,000		
Others					2,440,000			
Total					1,193,200,000			